

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: YOKOYAMA, CHIKAFUMI  
Application No.: 10/698200 Confirmation No.: 9988  
Filed: October 31, 2003  
Title: METHOD OF FORMING MICROSTRUCTURES ON A SUBSTRATE AND A  
MICROSTRUCTURED ASSEMBLY USED FOR SAME

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311.

Any required fee will be paid at the time of EFS-Web submission.

Respectfully submitted,

March 9, 2007

Date

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
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